

## AUDIT COMMITTEE – 12<sup>th</sup> July 2022

### Report of the Strategic Director for Environment and Corporate Services

#### Part A

#### ITEM 9 RISK MANAGEMENT (RISK REGISTER) UPDATE

##### Purpose of Report

The purpose of this report is to provide the Committee with details of the Strategic Risk Register produced for the period to 2022/23.

##### Recommendation

The Committee notes the report.

##### Reason

To ensure the Committee is kept informed of progress against the strategic risks that should they crystallise would cause the Council to be unable to operate and/or provide key services leading to a significant adverse effect on public wellbeing.

##### Policy Justification and Previous Decisions

The Strategic Risk Register was approved by Cabinet on the 10th February 2022.

Cabinet resolved that the Audit Committee monitor progress against those risks on the register by receiving and considering monitoring reports on a quarterly basis.

##### Implementation Timetable including Future Decisions

Reports will continue to be submitted to the Committee on a quarterly basis.

##### Report Implications

The following implications have been identified for this report.

##### *Financial Implications*

None

##### *Risk Management*

There are no specific risks associated with this decision.

Background Papers: Cabinet Report 10<sup>th</sup> February 2022 – Item 11  
Audit Committee Report – 4<sup>th</sup> May 2022 – Item 8  
Audit Committee Report – 15<sup>th</sup> February 2022 – Item 6

Officers to contact: Simon Jackson  
Strategic Director for Environment and Corporate  
Services  
(01509) 634699  
[simon.jackson@charnwood.gov.uk](mailto:simon.jackson@charnwood.gov.uk)

Helen Gretton  
Organisational Development Manager  
(01509) 634556  
[helen.gretton@charnwood.gov.uk](mailto:helen.gretton@charnwood.gov.uk)

## Part B

### Background

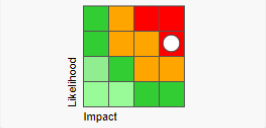
1. In accordance with the Committee's work programme the Committee receives quarterly monitoring reports in respect of the Council's risk management arrangements. The reports provide a detailed commentary against the risks included in the strategic risk register and identifies any changes to the risk rating and / updated treatments and controls.

### Development of the Strategic Risk Register

2. In reading the risk registers attached in the appendices, it is important to understand that the 'Overall Score' shown in the first risk matrix is the risk that the Council would bear if **no** actions were taken to mitigate the risk. In the vast majority of cases the Council is able to operate risk mitigation processes which result in the lower 'Net Risk Score' shown in the second risk matrix it is this latter score which represents the current assessment of strategic risks faced by the Council.
3. The registers continue to be monitored and reviewed by the Senior and Corporate Leadership Teams at the quarterly Risk Management Group (RMG) meetings, and are updated as required. The most recent meeting of the RMG was 4<sup>th</sup> May.
4. No changes were made to the ratings within the Strategic Risk Register at the latest RMG, however, the risks were updated to reflect additional planned actions in SR3, SR7 and SR10.
5. Pentana Risk Management software has now been introduced to the Council and this will be used to manage the Strategic Risks going forward. The system provides an overview of all of the risks and can be drilled down to a greater level of detail. The system also shows the direction of travel for risks and therefore provides an audit trail – see screenshot below. The system is currently being rolled out to officers.

SR01 Risk that there is a lack of adequate Business Continuity and Recovery arrangements.-

Current Compare Description



Impact 4 Major  
Likelihood 3 Likely  
Score 12

Assessment I4L3 Major/Likely  
Date Assessed 28 Apr 2022  
Internal Controls Score 1 Ineffective

Update

Risk Details Risk Tree History Internal Controls Related To More...

Owners

Administered By Corporate Risk Manager  
Assigned To Corporate Risk Manager

Notes Notes from current assessment...  
No Notes found.

Risk Attributes

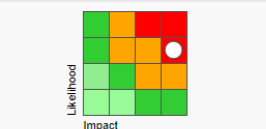
Profile

Potential Effect

- Inability to deliver key/critical services e.g benefits, refuse collection, homelessness applications, emergency repairs.
- Reduction in access channels available to residents / customers i.e. contact centre, customer services, telephony

SR01 Risk that there is a lack of adequate Business Continuity and Recovery arrangements.-

Current Compare Description

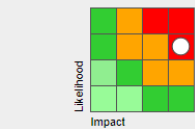


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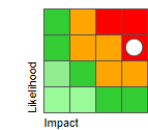
Update

Risk Details Risk Tree History Internal Controls Related To More...

	Date Assessed	Score	Assessment	Assessed by
	28 Apr 2022	12	I4L3 Major/Likely	Admin, Admin

28 April 2022

Notes Showing all Notes...  
No Notes found.



I4L3 Major/Likely

Impact 4 Major  
Likelihood 3 Likely  
Score 12

Assessed By Admin, Admin

Appendices

Appendix 1 - Strategic Risk Register